

DT05 PCT/PTO 08 OCT 2004

Express Mail No. ER 930263929 US

Docket No. 21238 US (C038435/0180816)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS  
DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT  
COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. §371

*In re* Application of: )  
Katja BERG-SCHULTZ and Ulrich HUBER )  
Based on Int'l Application No.: PCT/EP03/03095 ) Examiner: Not yet assigned  
International Filing Date: 25 March 2003 ) Art Unit: Not yet assigned  
Filed: Herewith )  
For: **ORGANOSILICONE DERIVATIVES OF** )  
**AMINO HYDROXYBENZOPHENONES AND** )  
**THEIR USE AS UV-A FILTERS IN** )  
**COSMETIC PREPARATIONS** )

New York, NY  
October 8, 2004

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record the following documents (clean copies and a Form PTO-1449 listing the documents are enclosed). The following documents were cited in the International Search Report, mailed July 2, 2003, in the International application corresponding to the above-captioned case.

### **US PATENT DOCUMENTS**

	<b><u>Document No.</u></b>	<b><u>Date</u></b>
A1	6,409,995	6/25/2002
A2	2002/0001570	1/3/2002
A3	6,114,561	9/5/2000
A4	5,270,426	12/14/1993
A5	6,071,502	6/6/2000

### **FOREIGN PATENT DOCUMENTS**

	<b><u>Document No.</u></b>	<b><u>Date</u></b>	<b><u>Country</u></b>
B1	EP 1 046 391	10/25/2000	Europe
B2	EP 1 133 980	9/19/2001	Europe
B3	WO 01/72935	10/4/2001	PCT
B4	EP 0 478 284	4/1/1992	Europe
B5	EP 0 655 453	5/31/1995	Europe
B6	EP 0 712 855	5/22/1996	Europe
B7	EP 0 982 310	3/1/2000	Europe
B8	2 684 551	6/11/1993	France
B9	1,167,759	10/22/1969	Great Britain

### **OTHER DOCUMENTS**

- C1 Derwent English language abstract of WO 01/72935 (document B3 above).
- C2 Derwent English language abstract of EP 0 712 855 (document B6 above).
- C3 Derwent English language abstract of FR 2 684 551 (document B8 above).

The Examiner's independent consideration of all of these documents and their relevance before issuance of the first official action is respectfully requested. The

Examiner is also requested to initial and return a copy of the accompanying form PTO-1449 to evidence such consideration.

Documents B1, B2, and B7 are not in English. Therefore, English language equivalents are being submitted as documents A1, A2 and A5, respectively. Also, documents B3, B6, and B8 are not in the English language. Therefore, Derwent English abstracts are being provided as documents C1, C2, and C3 respectively. Copies of the International Search Report and International Preliminary Examination Report are included herewith. All documents cited in these reports are identified herein and copies of these documents are provided.

This Information Disclosure Statement is being filed in accordance with the provisions under 37 C.F.R. §1.97(b)(2), within three months of the date of entry of the national stage of the international application. Accordingly, no fee is believed to be due. If, however, a fee is due, please charge the same to Deposit Account No. 02-4467. A duplicate copy of this sheet is enclosed.

If the Examiner has any questions regarding this paper, please contact  
the undersigned attorney.

Respectfully submitted,

By: 

Stephen J. Brown  
Registration No. 43,519  
BRYAN CAVE LLP  
1290 Avenue of the Americas  
33<sup>rd</sup> Floor  
New York, NY 10104  
Phone: (212) 541-2000  
Fax: (212) 541-4630

Form PTO-1449 (Rev. )	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)							
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">           ATTY. DOCKET NO. Docket No. 21238 US (C038435/0180816)         </td> <td style="width: 50%;">           INTERNATIONAL APPLICATION NO.: PCT/EP03/03095         </td> </tr> <tr> <td colspan="2">           Katja BERG-SCHULTZ and Ulrich HUBER         </td> </tr> <tr> <td>           INTERNATIONAL FILING DATE 25 March 2003         </td> <td>           GROUP Not Yet Assigned         </td> </tr> </table>		ATTY. DOCKET NO. Docket No. 21238 US (C038435/0180816)	INTERNATIONAL APPLICATION NO.: PCT/EP03/03095	Katja BERG-SCHULTZ and Ulrich HUBER		INTERNATIONAL FILING DATE 25 March 2003	GROUP Not Yet Assigned
ATTY. DOCKET NO. Docket No. 21238 US (C038435/0180816)	INTERNATIONAL APPLICATION NO.: PCT/EP03/03095						
Katja BERG-SCHULTZ and Ulrich HUBER							
INTERNATIONAL FILING DATE 25 March 2003	GROUP Not Yet Assigned						

**U.S. PATENT DOCUMENTS**

Examiner Initial	CiteNo	U.S. Patent Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	6,409,995	6/25/2002	Habeck <i>et al.</i>			
	A2	2002/0001570	1/3/2002	Heidenfelder <i>et al.</i>			
	A3	6,114,561	9/5/2000	O'Lenick, Jr.			
	A4	5,270,426	12/14/1993	Sakuta <i>et al.</i>			
	A5	6,071,502	6/6/2000	Forestier <i>et al.</i>			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B1	EP 1 046 391	10/25/2000	Europe				
	B2	EP 1 133 980	9/19/2001	Europe				
	B3	WO 01/72935	10/4/2001	PCT				
	B4	EP 0 478 284	4/1/1992	Europe				
	B5	EP 0 655 453	5/31/1995	Europe				
	B6	EP 0 712 855	5/22/1996	Europe				
	B7	EP 0 982 310	3/1/2000	Europe				
	B8	2 684 551	6/11/1993	France				
	B9	1,167,759	10/22/1969	Great Britain				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	C1	Derwent English language abstract of WO 01/72935 (document B3 above).
	C2	Derwent English language abstract of EP 0 712 855 (document B6 above).
	C3	Derwent English language abstract of FR 2 684 551 (document B8 above).
EXAMINER		DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		